

Privacy Notice

Your IA LLC, a registered investments advisor, recognizes and respects the privacy of your personal information. As such, Your IA is committed to safeguarding the use of personal information of our Clients (also referred to as “you” and “your”) that we may obtain while providing services to you as described here in our Privacy Notice.

Your IA relationship with you is our most important asset. Your IA understands that you have entrusted us with your private information, and we do everything we can to maintain that trust. Your IA protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or services of our relationship with you.

Your IA is subject to laws that protect your privacy. Your IA is required to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What Information Do We Collect?

The types of information we collect may include, but not limited to:

- Social security or taxpayer identification numbers
- Name, address or phone number(s)
- E-mail address(es)
- Account information (including other institutions)
- Income and expenses
- Account balances and payment history
- Credit history and credit scores
- Investment activity

How Do We Collect Your Personal Information?

Your IA may collect personal information, from you, your custodian, or other service providers during your account set-up and customer due diligence process or while providing services to you.

How Do We Share Your Personal Information?

Your IA only discloses personal information about you as follows:

- As required by law. At times, we may be required to provide information to government entities or regulatory bodies or to others in response to request for information or subpoenas.
- To service your account. In order to service your account and process transactions, we may provide your personal information to firms that assist us in servicing your account which have a need for such information.
- In the normal course of our business. We may use your personal information to ensure that we are able to offer our services to you. We may provide your personal information to our attorney, accountants, auditors and affiliates in the normal course of business and as permitted by law.

- When expressly permitted by you. We may release personal information to fiduciary representatives (e.g. advisors) when we have written authority to do so.

Your IA does not sell your personal information to anyone.

Your IA will not share your personal information for joint marketing with other financial institutions, or with our affiliates or non-affiliates for the purpose of marketing to you.

In the event that Your IA discloses personal information to a non-affiliated third-party that provides services to Your IA we will:

- Enter into contractual agreement with the third-party that prohibits the third-party from disclosing or using your personal information other than to carry out the services for us.
- Enter into contractual agreement with the third-party that prohibits the third-party from selling your personal information or using it for marketing purposes.

Can You Limit Sharing of Your Personal Information?

You may limit sharing of your personal information for marketing purposes. You cannot limit sharing your personal information for the purpose of prohibiting or limiting Your IA's ability to service your account or as required by law.

How Does Your IA Protect Your Personal Information?

Your IA restricts access to your personal information to only those persons who need that information to service your account. Your IA maintains physical, electronic and procedural safeguards that comply with federal standards to protect your personal information, such as computer safeguards and secured files and buildings. Our service providers also maintain similar physical, electronic and procedural safeguards.

Changes To Our Privacy Policy

Your IA will send you a copy of this Notice annually for as long as you maintain an ongoing relationship with Your IA.

Periodically Your IA may revise our privacy policies, and we will provide you with a revised notice if the changes materially alter the previous policy.

Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us at 1-800-823-0489.